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THE ASSOCIATION OF
**HONG KONG
ACCOUNTANTS**
香港會計師專業協會

*(a member of The Association of Hong Kong Accountants Limited,
incorporated in Hong Kong as a company limited by guarantee)*

HKiNED
香港獨立非執行董事協會
The Hong Kong Independent
Non-Executive Director Association

**Physical Seminar on
“Dubious Transactions and Loans - Enforcement Trends and Practical Insights”**

Speakers:

Mr. William Wong
Partner, Howse Williams

Mr. Lester Fung
Consultant, Howse Williams

Mr. Sean Lam
Senior Managing Director, FTI Consulting

(Course Code : 202025)

Highlight:

In recent years, there has been increased scrutiny by regulatory authorities such as the Securities and Futures Commission, Accounting and Financial Reporting Council and the Hong Kong Stock Exchange over dubious transactions and loans involving listed companies. Leveraging their experience, the speakers will discuss the enforcement trends and share their practical insights in identifying and investigating these transactions.

By reference to real-life and hypothetical examples, our speakers will share their insights in the following areas:

1. Enforcement trends and regulatory expectations;
2. Best practices & compliance tips;
3. Practical insights in identifying and investigating dubious transactions, including fund flow analysis, evidence collection, management of stakeholders, etc.

The seminar is not only useful for our practicing CPAs, but also directors and senior management of listed companies, etc.

Date:	<u>15 August 2025 (Friday) – Rescheduled due to cancellation on 5 August 2025</u>	
Time:	6:00pm – 8:00pm (6:00pm – 6:30pm reception with refreshment)	
Venue:	FTI Consulting Office Level 35, Oxford House, Taikoo Place, 979 King's Road, Quarry Bay, Hong Kong	
Medium:	Cantonese (with English presentation materials)	
CPD Hours:	1.5 hours	
Enrolment Fee:	HK\$300	Member of AHKA
	HK\$300	Member of Supporting Organizations
	HK\$500	Non-member or Others
Online Enrolment:	Please Click Here	
Deadline:	13 August 2025 (Wednesday)	

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Supporting Organizations:



AICPA® & CIMA®
Together as the Association of International
Certified Professional Accountants



About the Speakers:-



Mr. William Wong

Partner

Howse Williams | Disputes and Regulatory Lawyer

William specialises in contentious regulatory work and financial services and commercial litigation with almost 20 years of experience. He represents both institutional and individual clients in investigations by regulatory authorities and law enforcement agencies in Hong Kong on a wide range of matters, including market misconduct, money-laundering, bribery and corruption, mis-selling, internal controls failure, fraud, employee whistleblowing and data breaches.

On the advisory side, leveraging his in-house experience William provides counsel on a broad range of regulatory and compliance issues concerning financial institutions and listed companies, including new business initiatives (the drafting of client agreements), licensing issues, internal controls enhancements, as well as emerging trends such as the use of Tech and virtual assets.

William has been ranked by leading legal directories since 2018, including Legal 500 ("Next Generation Lawyer" for Disputes Resolution), IFLR1000 ("Rising Star Partner" in Financial Services Regulatory), Who's Who Legal ("Future Leader" in Investigations), LexisNexis ("40 Under 40") and China Business Law Journal ("20 Rising Stars in international firms").

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About the Speakers (cont'd):-



Mr. Lester Fung

Consultant

Howse Williams | Disputes and Regulatory Lawyer

Lester's practice predominantly focuses on resolving financial regulatory matters. He advises on a range of compliance and market misconduct issues, internal investigations and regulatory due diligence in significant transactions. Lester's clients include financial institutions, listed companies, fund managers, crypto exchanges, directors and independent committees, etc. He also works closely with forensic accountants and financial advisers.

In addition, Lester has abundant experience in handling commercial disputes and insolvency and restructuring matters, drawing on his substantial involvement in some high-profile and precedent-setting insolvencies in recent years, notably in the PRC real estate sector.

Lester is a fellow of the Chartered Institute of Arbitrators and member of the Restructuring and Insolvency Faculty of the HKICPA. He holds a specialist qualification in insolvency awarded by the HKICPA and has previously co-authored the Hong Kong chapter of leading practitioners' text on payments law and data privacy.

Lester is qualified to practise in Hong Kong and Greater Bay Area of Mainland China.

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About the Speakers (cont'd):-



Mr. Sean Lam
Senior Managing Director
FTI Consulting

Sean Lam is a Senior Managing Director in the Forensic & Litigation Consulting segment of FTI Consulting, and he is based in Hong Kong.

Sean has over nineteen focused years of professional services experience in forensic accounting, fraud investigation and litigation support. He has significant experience in a range of high-stakes, cross-jurisdiction projects, specialising on forensic accounting review and investigation of white-collar crime, accounting irregularities and other complex regulatory investigations. He has also been appointed as a testifying expert in legal proceedings in the Hong Kong High Court.

Sean has significant exposure to conducting investigations into suspected accounting irregularities of Hong Kong, U.S. and other foreign listed companies with principal business operations in the People's Republic of China ("PRC"). In this role, Mr. Lam regularly applies commercial, accounting and finance skills in examining historical financial, operational and transactional records, conducting fund tracing analyses and performing detailed analyses to establish the accuracy and completeness of those records. The results of Mr. Lam work are frequently presented to corporate boards, audit committees and legal advisors, together with regulatory and enforcement authorities in Hong Kong and abroad.

Sean has led and worked on a broad range of investigation and forensic accounting engagements. One of Sean's current engagements involve the "biggest investigation of market malfeasance" in Hong Kong's history, in relation to a tangled web of 50 Hong Kong listed companies, also a subject matter of global media coverage. In particular, Sean's investigation has identified evidence of a string of irregular transactions, including loan fraud, market manipulation, misappropriation of company funds, as well as capital raising transactions that were designed to defraud minority shareholders.

Enrolment Form

To : The Association of Hong Kong Accountants

Email : ahka_enrolment@ahka.hk

Enquiries : 3520 2546

Physical Seminar on
“Dubious Transactions and Loans - Enforcement Trends and Practical Insights”
Course Code: 202025
15 August 2025 (Friday)

- ☐ Member of AHKA (No.: _____)
- ☐ Member of Supporting Organizations
(No.: _____)
- ☐ Non-Member or Others

Full Name : _____

Firm's Name : _____

Mobile Phone No. : _____ Email: _____

Enrolment Fee:	Member of AHKA	HK\$300
	Member of Supporting Organizations	HK\$300
	Non-Member or Others	HK\$500

Cheque No. : _____ Bank: _____ Amount HK\$ _____

Signature: _____ Date: _____

Please complete the enrolment form together with your cheque for the full amount made payable to **“The Association of Hong Kong Accountants Limited”** and send to Unit E6, 2/F., Hong Kong Industrial Building, 444-452 Des Voeux Road West, Hong Kong.

Notes:

- Enrolments for the seminar are accepted on the first-come-first-served basis. Priority will be given to AHKA's members or associates / members of supporting organizations.
- Confirmation will be sent by email one or two day before the date of the seminar.**
- If Typhoon Signal No. 8 or higher / Black Rainstorm warning is hoisted, the seminar will be postponed and new arrangement will be announced.
- Personal data** collected from the enrollee may be used by the Association to inform you of this seminar, and any other training activities, the benefits, goods, services, facilities and events to be organized or provided by the Association. An enrollee may opt out from receiving such materials at any time by sending a letter to the Association's privacy officer.
- Once application is successful, fees are non-refundable and seats are non-transferable.**