

(a member of The Association of Hong Kong Accountants Limited, incorporated in Hong Kong as a company limited by guarantee)

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### Webinar on

"Handling SFC Investigations and Dawn Raids"

Speaker:

Mr. Jun Kwong

**Partner** 

MinterEllison LLP

(Course Code: 192025)

# **Highlight:**

The Securities and Futures Commission (SFC) has extensive regulatory powers. This webinar serves to give the audience a refresher of the SFC's regulatory powers, and some practical tips on handling SFC investigations, as well as dawn raids conducted by the SFC.

Date: 17 July 2025 (Thursday)

**Time:** 6:30pm – 8:00pm (6:15pm Registration)

Venue: WEBINAR (webinar access link will only be sent by email to the

participants, who have paid the enrolment fee, one or two days before the

date of the webinar)

Medium: Cantonese CPD Hours: 1.5 hours

Enrolment Fee: HK\$150 Member of AHKA

**HK\$250** Non-member or Others

Online Enrolment: Please Click Here

**Deadline:** 15 July 2025 (Tuesday)



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# About the Speaker:-



Mr. Jun Kwong
Partner
MinterEllison LLP

Jun has over 14 years of experience, currently focusing on commercial litigation, securities regulatory, competition, and probate and estate administration matters. Jun has extensive experience in assisting international conglomerates, state-owned enterprises, listed companies, directors and senior management and other high net-worth individuals in handling various dispute resolution matters, shareholders and boardroom disputes, probate and administration matters, regulatory investigations and proceedings (including Market Misconduct Tribunal proceedings). Jun also has practical experience in handling competition law matters, including enforcement actions commenced by the Competition Commission of Hong Kong.

### **Enrolment Form**

To : The Association of Hong Kong Accountants

Email: ahka enrolment@ahka.hk Enquiries: 3520 2546

Fax: 3547 8088

# Webinar on "Handling SFC Investigations and Dawn Raids" Course Code: 192025 17 July 2025 (Thursday)

Member of AHKA (No :

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			Non-Memb	er or Others	
Full Name	:				
Firm's Name	:				
Mobile Phone No.	:		Email: _		
Enrolment Fee:	Member of AHKA				HK\$150
	Non-Member or Others				HK\$250
Cheque No. :	Bank:			Amount HK\$_	
Signature:		Date	<b>)</b> :		

Please complete the enrolment form together with your cheque for the full amount made payable to "*The Association of Hong Kong Accountants Limited*" and send to Unit E6, 2/F., Hong Kong Industrial Building, 444-452 Des Voeux Road West, Hong Kong

## Notes:

- 1. Enrolments for the webinar are accepted on the first-come-first-served basis. Priority will be given to AHKA's members or associates.
- 2. Confirmation and webinar access link will be sent by email one or two day before the date of the webinar.
- 3. Please use your seminar registration name to login into the webinar seminar.
- 4. If Typhoon Signal No. 8 or higher / Black Rainstorm warning is hoisted, the seminar will be postponed and new arrangement will be announced.
- 5. **Personal data** collected from the enrollee may be used by the Association to inform you of this seminar, and any other training activities, the benefits, goods, services, facilities and events to be organized or provided by the Association. An enrollee may opt out from receiving such materials at any time by sending a letter to the Association's privacy officer.
- 6. Once application is successful, fees are non-refundable and seats are non-transferable.